ALABAMA WORKFORCE INVESTMENT SYSTEM

Department of Economic and Community Affairs
Workforce Development Division
401 Adams Avenue
Post Office Box 5690
Montgomery, Alabama 36103-5690

June 8, 2015

GOVERNOR'S WORKFORCE INNOVATION DIRECTIVE NO. PY 2014-14

SUBJECT: Program Year 2015/Fiscal Year 2016 Workforce Innovation and Opportunity Act (WIOA) Adult, Youth, and Dislocated Worker Allocations

1. **Purpose.** This Directive transmits notice of local areas' PY 2015/FY 2016 WIOA Adult, Youth, and Dislocated Worker program allocations.

2. **Discussion.** The Employment and Training Administration (ETA) of the U. S. Department of Labor (DOL) issued Training and Employment Guidance Letter (TEGL) No. 29-14 change 1, a copy of which is Attachment 2 to this Directive, transmitting notice of the State's WIOA PY 2015/FY 2016 WIOA Youth, Adult, and Dislocated Worker allotments. Also transmitted in TEGL 29-14 change 1, is the final allotments for PY 2015 Wagner-Peyser (ES), and the Workforce Information Grants for PY 2015.

   States operating under an approved WIOA State Plan will have the authority to expend their PY 2015 Youth funds, beginning April 1, 2015. This authority is provided under the WIOA Annual Funding Agreement. PY 2015 Adult and Dislocated Worker funds are available for State and local area expenditure beginning July 1, 2015. FY 2016 Adult and Dislocated Worker funds are available for State and local area expenditure beginning October 1, 2015.

   All Workforce Innovation and Opportunity Act funds, obligations and expenditures, must be tracked and reported separately for each of the funding streams and from all other funding sources.

   The Governor is withholding ten percent of PY 2015 and ten percent of FY 2016 Adult, Youth, and Dislocated Worker allotments at the state level for the conduct of WIOA Statewide Activities to include; state administration, local area performance incentive/capacity building awards, and other authorized activities. Additionally, the Governor has authority to withhold up to twenty-five percent of the State's Dislocated Worker program allotment for the conduct of Statewide Rapid Response activities. The State has elected to withhold only fifteen percent for Rapid Response activities at this time. Specific information regarding

PY 2015/FY 2016 Governor's WIOA Setaside amounts is contained in this Directive in Attachment 1.

At least eighty percent of all local area funds, including any PY 2015/FY 2016 local area incentive/capacity building awards, must be obligated during PY 2015. Local Areas are expected to spend PY 2015 funds concurrently with remaining WIA funding to increase the availability of services quickly and effectively.

WIOA section 133(b)(4) provides the authority for local workforce investment areas, with approval of the Governor, to transfer up to 100 percent of the Adult Activities funds to Dislocated Worker Activities, and up to 100 percent of Dislocated Worker Activities funds to Adult Activities.

Each local area Adult/Dislocated Worker funds transfer request should be channeled through the appropriate modification to that local area's PY 2015 Grant Agreement Package. Such Grant Package modifications must include an appropriate transfer request justification narrative.

All WIOA fund allocations, distributions, and awards are subject to the continued availability of federal funds.

3. **Action**. Local Areas should utilize Attachment 1, Local Area Planning Allocation for Program Year 2015, when preparing their PY 2015/FY 2016 Grant Agreement Packages. The full amount of each local area's Adult, Dislocated Worker, and Youth allocations should be budgeted for PY 2015 (July 1, 2015 – June 30, 2016) expenditure. Local area PY 2015/FY 2016 Grant Agreement Packages should be submitted to the Workforce Development Division no later than June 8, 2015.

Local areas that elect to draw down their respective PY 2015 Youth allocations before their plans have been approved should submit a WIOA-60 (PY 2015 Youth Funds) to the Workforce Development Division, along with a cover letter under the local area grant recipient's signature, indicating the local area's intention to draw down the PY 2015 Youth funds.

Note that the Attachment 1 allocation summary breaks down the full local area Adult and Dislocated Worker allocations into Program Year and Fiscal Year components. Only the Program Year portion of local area Adult and Dislocated Worker allocations is available for expenditure on or after July 1, 2015. The Fiscal Year portion of local

area Adult and Dislocated Worker allocations, indicated under the Attachment 1 Fiscal Year column, is available for expenditure beginning October 1, 2015 and may not be used to pay for expenditures incurred prior to October 1, 2015.

4. **Contact.** Any questions should be referred to Melody Koorangi, Supervisor, Program Integrity Section, at (334) 242-5175 or by email at melody.koorangi@adeca.alabama.gov.

6/8/2015

Steve Walkley, Division Director/Date
Workforce Development Division

Attachments:
1: Local Area Planning Allocation for Program Year 2015
2: Training and Employment Guidance Letter No. 29-14, Change 1

LOCAL AREA PLANNING ALLOCATION
FOR PROGRAM YEAR 2015
(July 1, 2015 - June 30, 2016)

		PY 2015 Allotment	FY 2016 Allotment	Full PY Allotment
SEC. 133(b)(2)(A) ADULT PROGRAMS		**$894,335**	**$9,806,749**	**$10,701,084**
a. GOVERNOR's SET ASIDES:	**10%**	**$89,433**	**$980,674**	**$1,070,107**
Administration (5%)		**$44,716**	**$490,337**	**$535,053**
Other WIA Activities (4%)		**$35,773**	**$392,270**	**$428,043**
Incent/Cap. Bldng. (1%)		**$8,944**	**$98,067**	**$107,011**
Local Area Grants (100%)		$8,944	$98,067	$107,011
State-level (0%)		$0	$0	$0
b. LOCAL AREA ADULT PROGRAMS	**90%**	**804,902**	**8,826,075**	**9,630,977**
AWIA		$695,299	$7,557,473	$8,252,772
Jefferson		$0	$0	$0
Mobile		$109,603	$1,268,602	$1,378,205
SEC. 128(b)(2) YOUTH ALLOTMENT		**$10,973,635**	**$0**	**$10,973,635**
a. GOVERNOR's SET ASIDES:	**10%**	**$1,097,363**	**$0**	**$1,097,363**
Administration (5%)		**$548,681**	**$0**	**$548,681**
Other WIA Activities (4%)		**$438,945**	**$0**	**$438,945**
Incent/Cap. Bldng. (1%)		**$109,737**	**$0**	**$109,737**
Local Area Grants (100%)		$109,737	$0	$109,737
State-level (0%)		$0	$0	$0
b. **LOCAL AREA YOUTH PROGRAMS**	**90%**	**$9,876,272**	**$0**	**$9,876,272**
AWIA		$8,597,664	$0	$8,597,664
Jefferson		$0	$0	$0
Mobile		$1,278,608	$0	$1,278,608

LOCAL AREA PLANNING ALLOCATION
FOR PROGRAM YEAR 2015
(July 1, 2015 - June 30, 2016)

		PY 2015 Allotment	FY 2016 Allotment	Full PY Allotment
SEC. 133(b)(2)(B) DISLOCATED WORKERS		$2,305,506	$12,706,713	$15,012,219
		$0		$0
		$2,305,506	$12,706,713	$15,012,219
a. RAPID RESPONSE PROGRAMS	15%	$345,825	$1,906,006	$2,251,831
b. GOVERNOR's SET ASIDES:	10%	$230,550	$1,270,671	$1,501,221
Administration (5%)		$115,275	$635,335	$750,610
FY'13 Reallotment Prg Activities				$0
Other WIA Activities (4%)		$92,220	$508,269	$600,489
Incent/Cap. Bldng. (1%)		$23,055	$127,067	$150,122
Local Area Grants (100%)		$23,055	$127,067	$150,122
State-level (0%)		$0	$0	$0
c. LOCAL AREA D.W. PROGRAMS	75%	$1,729,131	$9,530,036	$11,259,167
AWIA		$1,588,329	$8,754,011	$10,342,340
Jefferson		$0	$0	$0
Mobile		$140,802	$776,025	$916,827
TOTAL PROGRAM YEAR ALLOTMENT		$14,173,476	$22,513,462	$36,686,938
POOLED SET ASIDES				
RAPID RESPONSE PROGRAMS	15%	$345,825	$1,906,006	$2,251,831
Administration (5%)		$708,672	$1,125,672	$1,834,344
Other WIA Activities (4%)		$566,938	$900,539	$1,467,477
Incentive Awards/Capacity Building (1%)		$141,736	$225,134	$366,870
Local Area grants (100%)		$141,736	$225,134	$366,870
AWIA		N/A	N/A	N/A
Jefferson		N/A	N/A	N/A
Mobile		N/A	N/A	N/A
State-level activities (0%)		$0	$0	$0

LOCAL AREA PLANNING ALLOCATION
FOR PROGRAM YEAR 2015
(July 1, 2015 - June 30, 2016)

		PY 2015 Allotment	FY 2016 Allotment	Full PY Allotment
AWIA				
	ADULT	$695,299	$7,557,473	**$8,252,772**
	YOUTH	$8,597,664	$0	**$8,597,664**
	DISLOCATED WORKER	$1,588,329	$8,754,011	**$10,342,340**
	TOTAL LOCAL AREA FUNDS	**$10,881,292**	**$16,311,484**	**$27,192,776**
JEFFERSON				
	ADULT	$0	$0	**$0**
	YOUTH	$0	$0	**$0**
	DISLOCATED WORKER	$0	$0	**$0**
	TOTAL LOCAL AREA FUNDS	**$0**	**$0**	**$0**
MOBILE				
	ADULT	$109,603	$1,268,602	$1,378,205
	YOUTH	$1,278,608	$0	$1,278,608
	DISLOCATED WORKER	$140,802	$776,025	$916,827
	TOTAL LOCAL AREA FUNDS	**$1,529,013**	**$2,044,627**	**$3,573,640**
STATE-LEVEL				
	ADULT	**$89,433**	**$980,674**	**$1,070,107**
	YOUTH	**$1,097,363**	**$0**	**$1,097,363**
	DISLOCATED WORKER	**$230,550**	**$1,270,671**	**$1,501,221**
	RAPID RESPONSE	**$345,825**	**$1,906,006**	**$2,251,831**
	TOTAL STATE-LEVEL FUNDS	**$1,763,171**	**$4,157,351**	**$5,920,522**
TOTAL ALL FUNDS		**$14,173,476**	**$22,513,462**	**$36,686,938**

EMPLOYMENT AND TRAINING ADMINISTRATION ADVISORY SYSTEM U.S. DEPARTMENT OF LABOR Washington, D.C. 20210	CLASSIFICATION WIOA/Wagner-Peyser
	CORRESPONDENCE SYMBOL OWI
	DATE May 1, 2015

ADVISORY: **TRAINING AND EMPLOYMENT GUIDANCE LETTER NO. 29-14, CHANGE 1**

TO: STATE WORKFORCE AGENCIES
ALL STATE WORKFORCE LIAISONS

FROM: PORTIA WU /s/
Assistant Secretary

SUBJECT: Workforce Innovation and Opportunity Act (WIOA) Adult, Dislocated Worker and Youth Activities Program Allotments for Program Year (PY) 2015; Final PY 2015 Allotments for the Wagner-Peyser Act Employment Service (ES) Program Allotments; and Workforce Information Grants to States Allotments for PY 2015.

1. **Purpose**. To rescind TEGL 29-14 and replace it with TEGL 29-14, Change 1. Change 1 corrects the due date for **each** of the SF-424 from April 18 to May 12, 2015 for the WIOA funding streams for the Youth, Adult, and Dislocated Worker programs listed in section 9, Submission. The remainder of the TEGL remains unchanged.

2. **References**.
   - Consolidated and Further Continuing Appropriations Act, 2015 (Pub. L. 113-235)
   - Balanced Budget and Emergency Deficit Control Act, as amended (Title II of Pub. L. 99-177)
   - Budget Control Act of 2011 (Pub. L. 112-25)
   - Training and Employment Guidance Letter (TEGL) 21-12, *Updated Economically Disadvantaged Youth and Adult Data for use in Program Year (PY) 2013 and future Workforce Investment Act (WIA) Youth and Adult Within-State Allocation Formulas*
   - Workforce Innovation and Opportunity Act of 2014, Public Law 113-128, as amended
   - Wagner-Peyser Act, as amended (29 U.S.C. 49 et seq.)
   - *LAUS Technical Memorandum No. S-14-22.*

RESCISSIONS TEGL 29-14	EXPIRATION DATE Continuing

3. **Background**. On December 16, 2014, the Consolidated and Further Continuing Appropriations Act, 2015, Pub. L. 113-235 was signed into law (from this point forward, referred to as "the Act"). The Act, Division G, Title I, Section 107, allows the Secretary of Labor to set aside up to .5 percent of most operating funds. The evaluation provision is consistent with the Federal government's priority on evidence-based policy and programming and provides important opportunities to expand evaluations and demonstrations in the Department to build solid evidence about what works best. In the past, funds for ETA evaluations and demonstrations were separately appropriated and managed by ETA. Like last year, that separate authority has been replaced by the set aside provision. Funds are transferred to the Department's Chief Evaluation Office to implement formal evaluations and demonstrations in collaboration with ETA. For 2015, the Secretary set aside .25 percent of the TES and SUIESO appropriations. ETA spread the amount to be set aside for each appropriation among the programs funded by that appropriation with more than $100 million in funding. This includes WIOA Adult, Youth and Dislocated Worker and Wagner-Peyser Employment Service program budgets.

   The WIOA Youth grants are funded through a single appropriation and the evaluation reductions were applied to the PY 2015 appropriated level. The 2015 Youth activities funds will become available for obligation on April 1. However, the Act funds the WIOA Adult and Dislocated Worker programs in two separate appropriations. The first becomes available for obligation on July 1; this portion is commonly referred to as the "base" funds. The second becomes available for obligation on October 1; this portion is commonly referred to as "advance" funds because they are provided in the appropriations act passed during the fiscal year immediately before the fiscal year when the funds are available. For example, funds for PY 2015 that will be made available on October 1, 2015 were appropriated during FY 2015, but not made available until FY 2016, and are called the FY 2016 "advance." Like last year, the reductions for evaluations from the WIOA Adult and Dislocated Worker programs were applied to the FY 2016 "advance" funding levels; "base" funding will be disseminated at the full amount appropriated in the Act.

   The Act also specifies the following provisions, which are applicable to utilization of the funds appropriated:

   - The Secretary may reserve not more than 10 percent of the Dislocated Worker National Reserve funds to provide technical assistance and carry out additional activities related to the transition to the WIOA.
   - Notwithstanding section 128(a)(1) of WIOA, the amount available for the Governor for Statewide workforce investment activities must not exceed 10 percent of the amount allotted to the State from each of the WIOA Youth, Adult, and Dislocated Worker, PY 2015 appropriations.
   - Salary caps are imposed under Pub. L.113-235, Division G, Title I, Section 105. No funds under the header "Employment and Training Administration" shall be used by a recipient or sub-recipient to pay the salary and/or bonus of an individual, either as direct costs or indirect costs, at a rate in excess of Executive Level II, currently $181,500. States also may establish lower salary caps. See TEGL 5-06.

WIOA included the following additional change:

- Per WIOA Section 133(b)(4), a local board may now transfer up to 100 percent of funds between the Adult and Dislocated Worker funding streams if approved by their Governor.

While the WIOA allotments for States are based on formula provisions contained in WIOA (see Attachment A for WIOA and Wagner-Peyser formula descriptions), the WIOA allotments for the outlying areas (e.g., American Samoa, Guam, Northern Marianas, Palau, and the Virgin Islands) are now based on a competitive grant process (see WIOA sections 127(b)(1)(B)(ii), 132(b)(1)(A)(ii), and 132(b)(2)(A)(ii)). Using the transition authority provided in WIOA Section 503(b), ETA will delay implementation of a competitive grant process for outlying areas until PY 2016. For PY 2015, outlying area grant amounts will be based on the administrative formula determined by the Secretary that was used under WIA. The Marshall Islands and Micronesia receive funding from the Department of Education's appropriation rather than WIOA Title 1 funding (see WIOA section 512(g)(1) updates to the Compact of Free Association Amendments of 2003, Pub. L. 108-188 (December 17, 2003), codified at 48 U.S.C. 1921d(f)(1)(B)(iii)(the "Compact"). WIOA also updated the Compact so that the Republic of Palau remained eligible for WIOA Title I funding. See 48 U.S.C.1921d(f)(1)(B)(ix). The Consolidated and Further Continuing Appropriations Act, 2015 (Division G, Title III, Section 306 of Pub. L. 113-235) authorized WIOA Title I funding to Palau through FY 2015.

In addition to this TEGL, allotments and descriptions of the allotment formulas will be published in the Federal Register.

4. State WIOA Youth Activities Funds: Title I--Chapter 2--Youth Activities.

A. State Allotments. The appropriated level for PY 2015 for WIOA Youth Activities totals $831,842,000. After reducing the appropriation by $2,295,000 for evaluations, $829,547,000 is available for Youth Activities, which includes $12,443,205 for Native Americans, $815,061,036 for States, and $2,042,759 for outlying areas. Note that under WIOA the basis for calculating the ¼ of 1 percent reserved for outlying areas is based on the total available for Youth Activities after the Native American reservation. The Native American reservation is calculated on the total available for Youth Activities. Attachment B contains a breakdown of the WIOA Youth Activities program allotments by State for PY 2015 and provides a comparison of these allotments to PY 2014. Please note that the Department of Labor (the Department) will provide the Native American Youth allotments in a separate TEGL.

The three data factors required by WIOA for the PY 2015 Youth Activities State formula allotments are:

1. The average number of unemployed individuals for Areas of Substantial Unemployment (ASUs) for the 12-month period, July 2013 through June 2014, as prepared by the States under the direction of the Bureau of Labor Statistics (BLS);

2. The number of excess unemployed individuals or the ASU excess (depending on which is higher) averages for the same 12-month period as used for ASU unemployed data; and,

3. The number of economically disadvantaged Youth (age 16 to 21, excluding college students in the workforce and military) from special tabulations of data from the American Community Survey (ACS). The data used in the special tabulations for economically disadvantaged Youth were collected between January 1, 2006, and December 31, 2010.

Since the total amount available for States in PY 2015 is below the required $1 billion threshold specified in WIOA section 127(b)(1)(C)(iv)(IV), which was also the case in PY 2014, the Department did not apply the WIOA additional minimum provisions (see Attachment A). Instead, as required by WIOA, the Job Training Partnership Act (JTPA) (Pub. L. 97-300), section 262(a)(3) (as amended by section 207 of the Job Training Reform Amendments of 1992 Pub. L. 102-367) minimums of 90 percent hold-harmless of the prior year allotment percentage and 0.25 percent State minimum floor apply. WIOA also provides that no State may receive an allotment that is more than 130 percent of the allotment percentage for the State for the previous year.

B. PY 2015 Funding WIOA Agreement/Notice of Obligations (NOOs). Upon execution of the PY 2015 WIOA Annual Funding Agreement/NOO, Youth program funds will be available for States to use.

C. Within-State Allocations. States must distribute WIOA Youth Activities funds among local workforce investment areas (subject to reservation of the 10 percent limitation for statewide workforce investment activities as discussed in the Background Section of this TEGL) in accordance with the provisions of WIOA section 128 and the approved WIA/Wagner-Peyser Act State Plan. For purposes of identifying ASUs for the within-State Youth Activities allocation formula, states should use data made available by BLS (as described in LAUS Technical Memorandum No. S-14-22). For purposes of determining the number of economically disadvantaged Youth for the statutory formula, States should use the special tabulations of ACS data available at http://www.doleta.gov/budget/disadvantagedYouthAdults.cfm for the within-State Youth program allocations. See TEGL 21-12 for further information.

D. Transfers of Funds. Local workforce investment areas do not have the authority to transfer funds to or from the Youth Activities program.

E. Reallotment of Funds. The Secretary of Labor reallots Youth Activities program formula funds, as provided for by WIOA Section 127(c), based on completed PY financial reports that the States submitted. The Department will reallot funds among States under WIOA during PY 2015 based on State obligations made during PY 2014 (ETA will continue to reallot in accordance with 20 CFR §667.150 pending publication of the WIOA regulations).

5. **State Adult Employment and Training Activities Funds: Title I--Chapter 3--Adult and Dislocated Worker Employment and Training Activities**.

A. State Allotments. The total appropriated funds for Adult Activities in PY 2015 is $776,736,000. After reducing the appropriated amount by $2,143,000 for evaluations, $774,593,000 remains for Adult Activities, of which $772,656,517 is for states and $1,936,483 is for outlying areas. Attachment C shows the PY 2015 Adult Employment and Training Activities allotments and a State by State comparison of the PY 2015 allotments to PY 2014 allotments.

The three formula data factors for the Adult Activities program are the same as those used for the Youth Activities formula, except the Department used data for the number of economically disadvantaged Adults (age 18 to 72, excluding college students in the workforce and military). Since the total amount available for the Adult Activities program for States in PY 2015 is below the required $960 million threshold specified in WIOA section 132(b)(1)(B)(iv)(IV), as was also the case in PY 2014, the WIOA additional minimum provisions (See Attachment A) are not applicable. Instead, as required by WIOA, the JTPA section 202(b)(2) (as amended by section 202 of the Job Training Reform Amendments of 1992) minimums of 90 percent hold-harmless of the prior year allotment percentage and 0.25 percent State minimum floor apply. Also, like for the Youth program, WIOA also provides that no State may receive an allotment that is more than 130 percent of the allotment percentage for the State for the previous year.

B. PY 2015 Funding WIOA Agreement/Notices of Obligation (NOOs). For PY 2015, Congress appropriated funds for this program in two portions: $64,736,000 is available for obligation on July 1, 2015 (PY 2015), and $712,000,000 available for obligation on October 1, 2015 (FY 2016). The Department elected to use the funding available on October 1, 2015 for the evaluations set aside, reducing the amount available for Adult Activities on October 1, 2015 to $709,857,000. The Department prorated allotments to States and outlying areas based on these total amounts and will issue two NOOs: one for July 1, 2015, under the PY 2015 WIOA grant agreement, and the other for October 1, 2015, also under the PY 2015 WIOA grant agreement (see Attachment D).

C. Within-State Allocations. States must distribute WIOA Adult Activities funds for PY 2015 allotments among local workforce investment areas (subject to reservation of the 10 percent limitation for statewide workforce investment activities) in accordance with the provisions in WIOA section 133 and the approved WIA/Wagner-Peyser Act State Plan. The 10 percent which may be retained for statewide activities must be calculated on the total final allotment for PY 2015.

Similar to the Youth Activities program, for purposes of identifying ASUs for the within-state Adult Activities allocation formula, States should use the data made available by BLS (as described in LAUS Technical Memorandum No. S-14-22). For purposes of developing the number of economically disadvantaged Adults for the statutory formula, States should use the special tabulations of ACS data available at http://www.doleta.gov/budget/disadvantagedYouthAdults.cfm for the within-state Adult allocations. See TEGL 21-12 for further information.

D. Transfers of Funds. WIOA section 133(b)(4) provides the authority for local workforce investment areas, with approval of the Governor, to transfer up to 100 percent of the Adult Activities funds to Dislocated Worker Activities, and up to 100 percent of Dislocated Worker Activities funds to Adult Activities.

E. Reallotment of Funds. Reallotment of Adult Activities program formula funds, as provided for by WIOA section 132(c), is based on completed financial reports submitted by the States. The Department will reallot funds among States under WIOA during PY 2015 based on State obligations of PY 2014 funds made during PY 2014 (ETA will continue to reallot in accordance with 20 CFR §667.150 pending publication of the WIOA regulations).

6. State Dislocated Worker Employment and Training Funds: Title I--Chapter 3-Adult and Dislocated Worker Employment and Training Activities.

The amount appropriated for Dislocated Worker activities in PY 2015 totals $1,236,389,000. The total appropriation includes formula funds for the States, while the National Reserve is used for National Dislocated Worker Grants, technical assistance and training, demonstration projects, and the outlying areas' Dislocated Worker allotments. After reducing the appropriated amount by $3,411,000 for evaluations, a total of $1,232,978,000 remains available for Dislocated Worker activities. The amount available for outlying areas is $3,082,445, leaving $217,167,555 for the National Reserve and a total of $1,012,728,000 available for States.

A. State Allotments. Attachment E shows the PY 2015 Dislocated Worker activities allotments and a State by State comparison of the PY 2015 allotments to PY 2014 allotments.

The three data factors required in WIOA for the PY 2015 Dislocated Worker State formula allotments are:

1. The number of unemployed, averaged for the 12-month period, October 2013 through September 2014;

2. The number of excess unemployed, averaged for the 12-month period, October 2013 through September 2014; and

3. The number of long-term unemployed, averaged for the 12-month period, October 2013 through September 2014.

B. PY 2015 Funding WIOA Agreement/NOOs. For PY 2015, Congress appropriated funds for this program in two portions for both formula funds and National Reserve funds. For State formula funds, $155,530,000 is available for obligation on July 1, 2015 (PY 2015), and $860,000,000 is available for obligation on October 1, 2015 (FY 2016). For the National Reserve, which includes funds for the outlying areas, $20,859,000 is available for obligation on July 1, 2015 (PY 2015), and $200,000,000 is available for obligation on October 1, 2015 (FY 2016). The Department elected to use the funding available on October 1, 2015 for the evaluations set aside, reducing the amount available for State formula funds on October 1, 2015 to $857,198,000 and for the National Reserve to $199,391,000. Allotments to States and outlying areas are prorated based on the two amounts for formula funds and National Reserve, respectively. Allotments will be issued in two NOOs: one for July 1, 2015, under the PY 2015 WIOA grant agreement, and the

other for October 1, 2015, (also under the PY 2015 WIOA grant agreement) (see Attachment F).

C. Within-State Allocations. Dislocated Worker Activities funds for PY 2015 allotments are to be distributed among local workforce investment areas (subject to the Governor's reservation of up to 25 percent for statewide rapid response activities under WIOA 133 and the 10 percent limitation for statewide workforce investment activities as discussed in the Background Section of this TEGL) in accordance with the provisions in WIOA section 133 and the approved WIA/Wagner-Peyser Act State Plan. States must calculate the 10 percent which may be retained for statewide activities on the total final allotment for PY 2015. WIOA provisions regarding within-state allocation minimum percentages do not go into effect until PY 2016.

D. Transfers of Funds. WIOA section 133(b)(4) provides the authority for local workforce investment areas, with approval of the Governor, to transfer up to 100 percent of the Adult Activities funds to Dislocated Worker Activities, and up to 100 percent of Dislocated Worker Activities funds to Adult Activities.

E. Reallotment of Funds. The Department bases reallotment of Dislocated Worker program formula funds, as provided for by WIOA section 132(c), on completed financial reports submitted by the States. The Department will reallot funds among States under WIOA during PY 2015 based on State obligations made during PY 2014 (ETA will continue to reallot in accordance with 20 CFR § 667.150 pending publication of the WIOA regulations).

7. **Wagner-Peyser ES Final Allotments**. The appropriated level for PY 2015 for grants for the ES program was $664,184,000. After reducing the appropriation by $1,784,000 for evaluations, $662,400,000 is available for the ES programs. After determining the funding for outlying areas, ETA calculates allotments to States using the formula set forth at section 6 of the Wagner-Peyser Act (29 U.S.C. 49e). ETA based PY 2015 formula allotments on each State's share of calendar year 2014 monthly averages of the Civilian Labor Force (CLF) and unemployment. The distribution of Wagner-Peyser Act funds for PY 2015 includes $660,785,299 for States, as well as $1,614,701 for outlying areas. Attachment G shows the distribution of PY 2015 ES formula amounts by State compared to PY 2014.

   Under section 7(b) of the Wagner-Peyser Act, ten percent of the total sums allotted to each State shall be reserved for use by the governor to provide performance incentives, services for groups with special needs, and for the extra costs of exemplary models for delivering job services.

8. **Workforce Information Grants**. Total funds for PY 2015 are $32,000,000. Funds are distributed to States by administrative formula with $176,800 for Guam and the Virgin Islands. Guam and the Virgin Islands allotment amounts are partially based on CLF data. The remaining funds are distributed to the States with 40 percent distributed equally to all States, and 60 percent distributed based on each State's share of the CLF for the 12 months ending September 2014. The allotment figures are listed in Attachment H. Policy guidance on the use of workforce information grants will be forthcoming.

9. **Submission**. In order to achieve greater efficiency and as part of ETA's ongoing effort to streamline the mandatory grant award process, all States are required to submit an electronically signed copy of an SF- 424, Application for Federal Assistance, through Grants.gov for **each** WIOA funding stream under Funding Opportunity Numbers:

   - **ETA-TEGL-PY-YOUTH-2015** [CFDA 17.259]
   - **ETA-TEGL-PY-FY-ADULT-2015** [CFDA 17.258]
   - **ETA-TEGL-PY-FY-DW-2015** [CFDA 17.278]

   An electronically submitted SF-424 through Grants.gov constitutes an official signed document and must reflect the amount for **each** WIOA funding stream (Youth, Adult, and Dislocated Worker). The closing date for receipt of **each** SF-424 is **May 12th, 2015.** The WIOA Youth funds will be awarded in May 2015. The PY 2015 "base" allotment of WIOA Adult and Dislocated Worker funds will be awarded during the period of availability beginning July 2015. The FY 2016 "advance" allotment will be awarded in the period of availability starting October 2015. ***A copy of the executed PY 2015 WIOA Agreement will be available upon award of funds.***

   All States must submit an electronically signed SF- 424, Application for Federal Assistance, through Grants.gov for **each** ES funding program under Funding Opportunity Numbers:

   - **ETA-TEGL-ES-2015** [CFDA 17.207]
   - **ETA-TEGL-WIG-2015** [CFDA 17.207]

   An electronically submitted SF-424 through Grants.gov constitutes an official signed document and must reflect the amount for **each** ES program (Wagner-Peyser ES Program and Workforce Information Grants). The closing date for receipt of **each** SF-424 is **May 30, 2015**. The Wagner-Peyser Employment Service Program and Workforce Information funds will be awarded in July 2015. ***A copy of the executed PY 2015 ES Agreement will be available upon award of funds.***

   Each SF-424 must reflect the exact amount of the designated State allotment in item #18, Estimated Funding. Item #11 must include the Catalog of Federal Domestic Assistance Number for the applicable Funding Opportunity.

   To submit the required SF-424s, States must follow the **"Apply for Grants"** link on Grants.gov, and download the grant application package links. States should **not** follow the "Find Grants" link, as these are mandatory grants, not competitive funding opportunities.

   This process can be complicated and time-consuming. As such, the Department strongly encourages States to initiate the process as soon as possible and to allow for time to resolve technical problems if necessary. The Department strongly recommends that States immediately initiate and complete the "Get Registered" registration steps at http://www.grants.gov/web/grants/register.html. States should read through the registration process carefully before registering. These steps may take as much as four weeks to complete, and this time should be factored into plans for electronic submission in order to avoid unexpected delays that could result in the rejection of an application. The site also contains registration checklists to help you walk through the process. The Department

strongly recommends that States download the "Organization Registration Checklist" at http://www.grants.gov/web/grants/applicants/organization-registration.html and prepare the information requested before beginning the registration process. Reviewing and assembling required information before beginning the registration process will alleviate last minute searches for required information and save time.

All applicants for Federal grant and funding opportunities are required to have a Data Universal Numbering System (D-U-N-S®) number, and must supply their D-U-N-S® number on the SF-424. Before submitting, States must register with the System for Award Management (SAM), which has replaced the Central Contractor Registry (CCR). Instructions for registering with SAM can be found at https://www.sam.gov
An awardee must maintain an active SAM registration with current information at all times during which it has an active Federal award or an application under consideration. To remain registered in the SAM database after the initial registration, there is a requirement to review and update the registration at least every 12 months from the date of initial registration or subsequently update the information in the SAM database to ensure it is current, accurate, and complete. Failure to register with SAM and maintain an active account will result in Grants.gov rejecting your submission.

The next step in the registration process is creating a username and password with Grants.gov to become an Authorized Organizational Representative (AOR). AORs will need to know the D-U-N-S® number of the organization for which they will be submitting applications to complete this process. To read more detailed instructions for creating a profile on Grants.gov visit: http://www.grants.gov/web/grants/applicants/organization-registration/step-3-username-password.html
After creating a profile on Grants.gov, the E-Biz point of Contact (E-Biz POC) - a representative from your organization who is the contact listed for SAM – will receive an email to grant the AOR permission to submit applications on behalf of their organization. The E-Biz POC will then log in to Grants.gov and approve an applicant as the AOR, thereby giving him or her permission to submit applications. To learn more about AOR Authorization visit: http://www.grants.gov/web/grants/applicants/organization-registration/step-4-aor-authorization.html
To track AOR status visit:
http://www.grants.gov/web/grants/applicants/organization-registration/step-5-track-aor-status.html
Submitting through Grants.gov constitutes an electronically signed SF-424, Application for Federal Assistance. The registration and account creation with Grants.gov, with E-Biz POC approval, establishes an AOR. When you submit the SF-424 through Grants.gov, the name of your AOR on file will be inserted into the signature line. States must register the individual who is able to make legally binding commitments as the AOR.

If States encounter a problem with Grants.gov and do not find an answer in any of the other resources, call 1-800-518-4726 or 606-545-5035 to speak to a Customer Support Representative or email support@grants.gov. The Contact Center is open 24 hours a day, seven days a week. It is closed on federal holidays.

States should e-mail all submission questions to Ms. Sharon Carter, Grants Management Specialist, at Carter.Sharon@dol.gov and must reference the specific Funding Opportunity Number, and along with question(s), include a contact name, email address, and phone

number.

11. **Reporting**. For the WIOA formula programs, States are required to submit the seven designated WIA quarterly financial status reports covering funds received for each of the programs (including separate reports for each of the fund year periods for the Adult and Dislocated Worker Activities programs -- July 1 funds and October 1 funds) until revised WIOA quarter financial status reports become available. These seven WIA reports provide financial data for Statewide Youth, Statewide Adult, Statewide Dislocated Worker, Statewide Rapid Response (Dislocated Worker Activities), local Youth, local Adult, and local Dislocated Worker activities. The Department also requires States to submit the designated financial reports each quarter for the Wagner-Peyser Act funds and the Workforce Information Grant funds.

12. **Inquiries**. Questions regarding these allotments may be directed to the appropriate Regional Office. Information on allotments and planning requirements may also be found on the ETA Web site at http://www.doleta.gov.

13. **Attachments**.

A. WIOA and Wagner-Peyser Statutory Formula Descriptions

B. WIOA Youth Activities Allotments,
PY 2015 vs PY 2014

C. WIOA Adult Activities Allotments,
PY 2015 vs PY 2014

D. WIOA Adult Activities Allotments,
July 1 and October 1 Funding

E. WIOA Dislocated Worker Activities Allotments,
PY 2015 vs PY 2014

F. WIOA Dislocated Worker Activities Allotments,
July 1 and October 1 Funding

G. Employment Service (Wagner-Peyser) Allotments,
PY 2015 vs PY 2014

H. Workforce Information Grants Allotments,
PY 2015 vs PY 2014

U. S. Department of Labor
Employment and Training Administration

Workforce Innovation and Opportunity Act (WIOA) and Wagner-Peyser Act Statutory Formulas for State Allotments

State Allotment Formula Descriptions

WIOA Youth Activities

Formula:

1/3: State relative share of total unemployed in areas of substantial unemployment (ASU) (average 12 months ending 6/30)
1/3: State relative share of excess unemployed (average 12 months ending 6/30)
1/3: State relative share of economically disadvantaged youth (American Community Survey 2006-2010)

Minimums:

(a) If total amount available for States is $1 billion or less:
State allotment cannot be less than
(1) 90 percent of State relative share of prior year funding
(2) 0.25 percent of total available funds for States

(b) If total amount available for States exceeds $1 billion:
State allotment cannot be less than
(1) 90 percent of State relative share of prior year funding
(2) 1998 allotment amount
(3) 0.3 percent of first $1 billion plus 0.4 percent of amount over $1 billion

Maximum:

130% of State relative share of prior year funding

WIOA Adult Activities

Same as Youth Activities, except
(a) Formula uses economically disadvantaged ADULTS instead of YOUTH
(b) Threshold for selecting minimum provisions is $960 million instead of $1 billion

WIOA Dislocated Workers

Formula:

1/3: State relative share of total unemployed (average 12 months ending 09/30)
1/3: State relative share of excess unemployed (average 12 months ending 09/30)
1/3: State relative share of long-term unemployed (average 12 months ending 09/30)

Minimum: none in PY 2015
Maximum: none in PY 2015

Employment Service (Wagner-Peyser Act)

Formula for 97% of funds:

2/3: State relative share of civilian labor force (average 12 months ending 9/30 (preliminary) or 12/31 (final))
1/3: State relative share of total unemployment (average 12 months ending 9/30 (preliminary) or 12/31 (final))

Minimums:

(a) 90 percent of State relative share of prior year funding
(b) 0.28 percent of total available funds for States

Maximum:

Distribution of 3% of funds:

(1) States with civilian labor force below 1 million and under the national median civilian labor force density receive an amount which, when added to their share of the 97 percent portion, will result in an amount equal to 100 percent of their relative share of the prior year funding
(2) Remaining States losing in relative share receive a share of the remaining funds prorated based on the amount needed to achieve 100 percent of their relative share of prior year funding.

Formula Data Factor Definitions

WIOA Youth and Adults Programs

ASU: contiguous areas with unemployment rate of 6.5 percent or more excess unemployed. Higher of:
(1) excess unemployed (unemployment in excess of 4.5 percent) in ASU's; or
(2) excess unemployed (unemployment in excess of 4.5 percent) in all areas

Economically disadvantaged adults: individuals, age 22-72, meeting (or member of family meeting):
OMB poverty level or
70 percent of lower living standard income level (LLSIL).

Economically disadvantaged youth: individuals, age 16-21, meeting (or member of family meeting):
OMB poverty level or
70 percent of lower living standard income level (LLSIL).

WIOA Dislocated Workers Program

Excess unemployed: unemployment in excess of 4.5 percent
Long-term unemployed: number unemployed 15 or more weeks

Employment Service (Wagner-Peyser) - Self-explanatory

Attachment B

U.S. Department of Labor
Employment and Training Administration
WIOA Youth Activities State Allotments
Comparison of PY 2015 Allotments vs PY 2014 Allotments

State	PY 2014	PY 2015	Difference	% Difference
Total with Evaluations	**$820,430,000**	**$831,842,000**	**$11,412,000**	**1.39%**
Total (WIOA Youth Activities)	**$818,169,000**	**$829,547,000**	**$11,378,000**	**1.39%**
Alabama	10,363,134	10,973,635	610,501	5.89%
Alaska	2,009,628	2,037,653	28,025	1.39%
Arizona	16,873,353	18,380,399	1,507,046	8.93%
Arkansas	6,814,031	7,694,400	880,369	12.92%
California	119,122,833	120,707,084	1,584,251	1.33%
Colorado	12,414,406	11,835,030	(579,376)	-4.67%
Connecticut	9,398,657	9,634,681	236,024	2.51%
Delaware	2,009,628	2,037,653	28,025	1.39%
District of Columbia	2,216,117	2,329,955	113,838	5.14%
Florida	45,067,004	42,774,978	(2,292,026)	-5.09%
Georgia	27,467,948	27,630,735	162,787	0.59%
Hawaii	2,049,527	2,037,653	(11,874)	-0.58%
Idaho	3,414,748	3,116,131	(298,617)	-8.74%
Illinois	38,093,547	42,336,174	4,242,627	11.14%
Indiana	17,756,443	16,203,657	(1,552,786)	-8.74%
Iowa	4,739,579	4,781,261	41,682	0.88%
Kansas	5,398,508	5,370,179	(28,329)	-0.52%
Kentucky	12,118,913	13,717,594	1,598,681	13.19%
Louisiana	9,327,194	9,194,017	(133,177)	-1.43%
Maine	3,244,888	3,214,985	(29,903)	-0.92%
Maryland	11,989,592	12,364,002	374,410	3.12%
Massachusetts	14,507,221	16,504,685	1,997,464	13.77%
Michigan	30,072,831	31,250,104	1,177,273	3.91%
Minnesota	9,947,978	9,078,036	(869,942)	-8.74%
Mississippi	9,200,818	9,151,084	(49,734)	-0.54%
Missouri	12,877,148	14,228,439	1,351,291	10.49%
Montana	2,152,132	2,152,782	650	0.03%
Nebraska	2,394,620	2,425,096	30,476	1.27%
Nevada	8,865,521	9,034,617	169,096	1.91%
New Hampshire	2,200,035	2,037,653	(162,382)	-7.38%
New Jersey	25,513,414	23,282,287	(2,231,127)	-8.74%
New Mexico	4,625,925	5,249,778	623,853	13.49%
New York	52,011,703	52,128,262	116,559	0.22%
North Carolina	28,871,997	26,347,165	(2,524,832)	-8.74%
North Dakota	2,009,628	2,037,653	28,025	1.39%
Ohio	26,270,342	28,593,170	2,322,828	8.84%
Oklahoma	6,258,954	6,941,080	682,126	10.90%
Oregon	10,543,691	10,431,168	(112,523)	-1.07%
Pennsylvania	33,509,103	30,984,178	(2,524,925)	-7.54%
Puerto Rico	17,265,863	19,489,676	2,223,813	12.88%
Rhode Island	3,743,023	4,106,989	363,966	9.72%
South Carolina	12,574,365	11,474,747	(1,099,618)	-8.74%
South Dakota	2,009,628	2,037,653	28,025	1.39%
Tennessee	16,496,140	17,503,627	1,007,487	6.11%
Texas	52,492,802	54,914,867	2,422,065	4.61%
Utah	4,304,671	3,928,231	(376,440)	-8.74%
Vermont	2,009,628	2,037,653	28,025	1.39%
Virginia	13,392,465	13,325,559	(66,906)	-0.50%
Washington	16,309,501	15,945,865	(363,636)	-2.23%
West Virginia	3,957,765	3,987,564	29,799	0.75%
Wisconsin	13,562,824	14,041,859	479,035	3.53%
Wyoming	2,009,628	2,037,653	28,025	1.39%
State Total	803,851,042	815,061,036	11,209,994	1.39%
American Samoa	196,434	217,678	21,244	10.81%
Guam	766,348	738,863	(27,485)	-3.59%
Northern Marianas	402,258	403,686	1,428	0.35%
Palau	75,000	75,000	0	0.00%
Virgin Islands	605,383	607,532	2,149	0.35%
Outlying Areas Total	2,045,423	2,042,759	(2,664)	-0.13%
Native Americans	12,272,535	12,443,205	170,670	1.39%
Evaluations set aside	2,261,000	2,295,000	34,000	1.50%

Attachment C

U.S. Department of Labor
Employment and Training Administration
WIOA Adult Activities State Allotments
Comparison of PY 2015 Allotments vs PY 2014 Allotments

State	PY 2014	PY 2015	Difference	% Difference
Total with Evaluations	$766,080,000	$776,736,000	$10,656,000	1.39%
Total (WIOA Adult Activities)	$763,969,000	$774,593,000	$10,624,000	1.39%
Alabama	10,127,957	10,701,084	573,127	5.66%
Alaska	1,905,148	1,931,641	26,493	1.39%
Arizona	15,910,029	17,323,692	1,413,663	8.89%
Arkansas	6,508,494	7,337,318	828,824	12.73%
California	114,152,207	115,578,226	1,426,019	1.25%
Colorado	11,534,090	10,974,957	(559,133)	-4.85%
Connecticut	8,642,428	8,856,853	214,425	2.48%
Delaware	1,905,148	1,931,641	26,493	1.39%
District of Columbia	2,014,101	2,119,523	105,422	5.23%
Florida	44,979,171	42,797,775	(2,181,396)	-4.85%
Georgia	26,369,329	26,506,892	137,563	0.52%
Hawaii	2,137,808	1,951,282	(186,526)	-8.73%
Idaho	3,171,735	2,894,258	(277,477)	-8.75%
Illinois	35,721,028	39,706,093	3,985,065	11.16%
Indiana	16,187,078	14,770,963	(1,416,115)	-8.75%
Iowa	3,371,916	3,398,273	26,357	0.78%
Kansas	4,537,758	4,502,095	(35,663)	-0.79%
Kentucky	12,441,851	13,954,626	1,512,775	12.16%
Louisiana	8,947,905	8,816,204	(131,701)	-1.47%
Maine	2,958,900	2,927,292	(31,608)	-1.07%
Maryland	11,120,651	11,464,414	343,763	3.09%
Massachusetts	12,850,371	14,722,745	1,872,374	14.57%
Michigan	28,122,010	28,780,666	658,656	2.34%
Minnesota	8,509,251	7,764,825	(744,426)	-8.75%
Mississippi	8,783,758	8,730,734	(53,024)	-0.60%
Missouri	11,979,012	13,246,842	1,267,830	10.58%
Montana	2,047,975	2,047,140	(835)	-0.04%
Nebraska	1,905,148	1,931,641	26,493	1.39%
Nevada	8,620,844	8,809,234	188,390	2.19%
New Hampshire	1,905,148	1,931,641	26,493	1.39%
New Jersey	24,644,654	22,488,633	(2,156,021)	-8.75%
New Mexico	4,457,154	5,044,948	587,794	13.19%
New York	50,339,040	50,421,651	82,611	0.16%
North Carolina	27,573,758	25,161,487	(2,412,271)	-8.75%
North Dakota	1,905,148	1,931,641	26,493	1.39%
Ohio	24,343,116	26,518,096	2,174,980	8.93%
Oklahoma	6,047,269	6,689,426	642,157	10.62%
Oregon	10,108,074	9,995,124	(112,950)	-1.12%
Pennsylvania	30,619,150	28,195,888	(2,423,262)	-7.91%
Puerto Rico	18,344,208	21,215,910	2,871,702	15.65%
Rhode Island	3,230,712	3,569,777	339,065	10.50%
South Carolina	12,134,396	11,072,827	(1,061,569)	-8.75%
South Dakota	1,905,148	1,931,641	26,493	1.39%
Tennessee	16,085,971	17,031,743	945,772	5.88%
Texas	50,065,195	52,323,110	2,257,915	4.51%
Utah	3,614,740	3,298,507	(316,233)	-8.75%
Vermont	1,905,148	1,931,641	26,493	1.39%
Virginia	12,445,438	12,370,494	(74,944)	-0.60%
Washington	15,226,047	14,868,344	(357,703)	-2.35%
West Virginia	4,028,840	4,056,659	27,819	0.69%
Wisconsin	11,762,474	12,196,759	434,285	3.69%
Wyoming	1,905,148	1,931,641	26,493	1.39%
State Total	762,059,077	772,656,517	10,597,440	1.39%
American Samoa	182,941	205,921	22,980	12.56%
Guam	713,704	698,958	(14,746)	-2.07%
Northern Marianas	374,568	381,883	7,315	1.95%
Palau	75,000	75,000	0	0.00%
Virgin Islands	563,710	574,721	11,011	1.95%
Outlying Areas Total	1,909,923	1,936,483	26,560	1.39%
Evaluations set aside	2,111,000	2,143,000	32,000	1.52%

Attachment D

U S Department of Labor
Employment and Training Administration
WIOA Adult Activities
PY 2015 State Allotments

State	Total	7/1/2015	10/1/2015
Total with Evaluations	$776,736,000	$64,736,000	$712,000,000
Total (WOIA Adult Activities)	$774,593,000	$64,736,000	$709,857,000
Alabama	10,701,084	894,335	9,806,749
Alaska	1,931,641	161,435	1,770,206
Arizona	17,323,692	1,447,814	15,875,878
Arkansas	7,337,318	613,211	6,724,107
California	115,578,226	9,659,359	105,918,867
Colorado	10,974,957	917,223	10,057,734
Connecticut	8,856,853	740,205	8,116,648
Delaware	1,931,641	161,435	1,770,206
District of Columbia	2,119,523	177,137	1,942,386
Florida	42,797,775	3,576,790	39,220,985
Georgia	26,506,892	2,215,293	24,291,599
Hawaii	1,951,282	163,077	1,788,205
Idaho	2,894,258	241,885	2,652,373
Illinois	39,706,093	3,318,406	36,387,687
Indiana	14,770,963	1,234,472	13,536,491
Iowa	3,398,273	284,008	3,114,265
Kansas	4,502,095	376,259	4,125,836
Kentucky	13,954,626	1,166,247	12,788,379
Louisiana	8,816,204	736,807	8,079,397
Maine	2,927,292	244,646	2,682,646
Maryland	11,464,414	958,129	10,506,285
Massachusetts	14,722,745	1,230,442	13,492,303
Michigan	28,780,666	2,405,322	26,375,344
Minnesota	7,764,825	648,939	7,115,886
Mississippi	8,730,734	729,664	8,001,070
Missouri	13,246,842	1,107,094	12,139,748
Montana	2,047,140	171,088	1,876,052
Nebraska	1,931,641	161,435	1,770,206
Nevada	8,809,234	736,225	8,073,009
New Hampshire	1,931,641	161,435	1,770,206
New Jersey	22,488,633	1,879,470	20,609,163
New Mexico	5,044,948	421,628	4,623,320
New York	50,421,651	4,213,950	46,207,701
North Carolina	25,161,487	2,102,851	23,058,636
North Dakota	1,931,641	161,435	1,770,206
Ohio	26,518,096	2,216,229	24,301,867
Oklahoma	6,689,426	559,064	6,130,362
Oregon	9,995,124	835,335	9,159,789
Pennylvania	28,195,888	2,356,449	25,839,439
Puerto Rico	21,215,910	1,773,103	19,442,807
Rhode Island	3,569,777	298,341	3,271,436
South Carolina	11,072,827	925,403	10,147,424
South Dakota	1,931,641	161,435	1,770,206
Tennessee	17,031,743	1,423,415	15,608,328
Texas	52,323,110	4,372,863	47,950,247
Utah	3,298,507	275,670	3,022,837
Vermont	1,931,641	161,435	1,770,206
Virginia	12,370,494	1,033,854	11,336,640
Washington	14,868,344	1,242,610	13,625,734
West Virginia	4,056,659	339,032	3,717,627
Wisconsin	12,196,759	1,019,335	11,177,424
Wyoming	1,931,641	161,435	1,770,206
State Total	772,656,517	64,574,159	708,082,358
American Samoa	205,921	17,210	188,711
Guam	698,958	58,415	640,543
Northern Marianas	381,883	31,916	349,967
Palau	75,000	6,268	68,732
Virgin Islands	574,721	48,032	526,689
Outlying Areas Total	1,936,483	161,841	1,774,642
Evaluations set aside	2,143,000	0	2,143,000

Attachment E

U.S. Department of Labor
Employment and Training Administration
WIOA Dislocated Worker Activities State Allotments
Comparison of PY 2015 Allotments vs PY 2014 Allotments

State	PY 2014	PY 2015	Difference	% Difference
Total with Evaluations	$1,222,457,000	$1,236,389,000	$13,932,000	1.14%
Total (WIOA Dislocated Worker Activities)	$1,219,087,000	$1,232,978,000	$13,891,000	1.14%
Alabama	11,599,476	15,012,219	3,412,743	29.42%
Alaska	1,633,027	2,184,119	551,092	33.75%
Arizona	20,193,454	22,511,715	2,318,261	11.48%
Arkansas	7,814,651	8,052,059	237,408	3.04%
California	157,376,202	164,063,131	6,686,929	4.25%
Colorado	15,822,647	13,622,336	(2,200,311)	-13.91%
Connecticut	13,243,210	13,612,474	369,264	2.79%
Delaware	2,613,882	2,596,904	(16,978)	-0.65%
District of Columbia	2,998,287	3,443,627	445,340	14.85%
Florida	60,315,153	61,786,732	1,471,579	2.44%
Georgia	36,939,150	39,981,701	3,042,551	8.24%
Hawaii	1,852,830	1,931,277	78,447	4.23%
Idaho	3,461,421	2,636,879	(824,542)	-23.82%
Illinois	54,907,799	58,325,151	3,417,352	6.22%
Indiana	22,303,621	17,611,408	(4,692,213)	-21.04%
Iowa	4,164,521	4,426,239	261,718	6.28%
Kansas	5,471,022	4,682,959	(788,063)	-14.40%
Kentucky	14,256,130	16,220,379	1,964,249	13.78%
Louisiana	10,286,901	9,215,660	(1,071,241)	-10.41%
Maine	3,807,546	3,592,396	(215,150)	-5.65%
Maryland	16,637,979	17,549,612	911,633	5.48%
Massachusetts	18,899,549	21,265,196	2,365,647	12.52%
Michigan	36,932,673	40,080,962	3,148,289	8.52%
Minnesota	9,452,346	8,332,420	(1,119,926)	-11.85%
Mississippi	10,617,327	11,047,184	429,857	4.05%
Missouri	16,292,492	18,476,297	2,183,805	13.40%
Montana	1,659,822	1,699,458	39,636	2.39%
Nebraska	2,044,195	2,016,308	(27,887)	-1.36%
Nevada	12,539,486	13,272,377	732,891	5.84%
New Hampshire	2,525,768	2,355,019	(170,749)	-6.76%
New Jersey	38,580,867	33,968,534	(4,612,333)	-11.95%
New Mexico	5,180,570	6,691,816	1,511,246	29.17%
New York	67,330,827	69,009,253	1,678,426	2.49%
North Carolina	38,671,061	31,698,026	(6,973,035)	-18.03%
North Dakota	549,747	566,170	16,423	2.99%
Ohio	32,568,365	33,758,857	1,190,492	3.66%
Oklahoma	5,417,077	5,943,501	526,424	9.72%
Oregon	13,140,217	13,672,401	532,184	4.05%
Pennsylvania	43,100,393	37,184,902	(5,915,491)	-13.72%
Puerto Rico	14,743,999	20,357,210	5,613,211	38.07%
Rhode Island	4,852,880	5,533,256	680,376	14.02%
South Carolina	15,546,400	12,481,973	(3,064,427)	-19.71%
South Dakota	800,633	856,158	55,525	6.94%
Tennessee	20,840,426	21,507,643	667,217	3.20%
Texas	57,992,167	55,598,809	(2,393,358)	-4.13%
Utah	3,786,657	2,963,244	(823,413)	-21.75%
Vermont	779,524	806,732	27,208	3.49%
Virginia	15,956,793	17,685,631	1,728,838	10.83%
Washington	19,149,875	19,533,856	383,981	2.01%
West Virginia	4,272,884	4,814,588	541,704	12.68%
Wisconsin	16,187,134	15,763,228	(423,906)	-2.62%
Wyoming	726,937	728,014	1,077	0.15%
State Total	998,838,000	1,012,728,000	13,890,000	1.39%
American Samoa	291,924	327,780	35,856	12.28%
Guam	1,138,877	1,112,584	(26,293)	-2.31%
Northern Marianas	597,709	607,872	10,163	1.70%
Palau	119,680	119,383	(297)	-0.25%
Virgin Islands	899,528	914,826	15,298	1.70%
Outlying Areas Total	3,047,718	3,082,445	34,727	1.14%
National Reserve	217,201,282	217,167,555	(33,727)	-0.02%
Evaluations set aside	3,370,000	3,411,000	41,000	1.22%

U. S. Department of Labor
Employment and Training Administration
WIOA Dislocated Worker Activities
PY 2015 State Allotments

State	Total	7/1/2015	10/1/2015
Total with Evaluations	$1,236,389,000	$176,389,000	$1,060,000,000
Total (WIOA Dislocated Worker Activities)	$1,232,978,000	$176,389,000	$1,056,589,000
Alabama	15,012,219	2,305,506	12,706,713
Alaska	2,184,119	335,427	1,848,692
Arizona	22,511,715	3,457,243	19,054,472
Arkansas	8,052,059	1,236,597	6,815,462
California	164,063,131	25,196,043	138,867,088
Colorado	13,622,336	2,092,054	11,530,282
Connecticut	13,612,474	2,090,540	11,521,934
Delaware	2,596,904	398,820	2,198,084
District of Columbia	3,443,627	528,856	2,914,771
Florida	61,786,732	9,488,915	52,297,817
Georgia	39,981,701	6,140,201	33,841,500
Hawaii	1,931,277	296,596	1,634,681
Idaho	2,636,879	404,959	2,231,920
Illinois	58,325,151	8,957,302	49,367,849
Indiana	17,611,408	2,704,677	14,906,731
Iowa	4,426,239	679,761	3,746,478
Kansas	4,682,959	719,187	3,963,772
Kentucky	16,220,379	2,491,049	13,729,330
Louisiana	9,215,660	1,415,298	7,800,362
Maine	3,592,396	551,703	3,040,693
Maryland	17,549,612	2,695,187	14,854,425
Massachusetts	21,265,196	3,265,809	17,999,387
Michigan	40,080,962	6,155,446	33,925,516
Minnesota	8,332,420	1,279,654	7,052,766
Mississippi	11,047,184	1,696,575	9,350,609
Missouri	18,476,297	2,837,503	15,638,794
Montana	1,699,458	260,995	1,438,463
Nebraska	2,016,308	309,655	1,706,653
Nevada	13,272,377	2,038,309	11,234,068
New Hampshire	2,355,019	361,673	1,993,346
New Jersey	33,968,534	5,216,728	28,751,806
New Mexico	6,691,816	1,027,698	5,664,118
New York	69,009,253	10,598,116	58,411,137
North Carolina	31,698,026	4,868,034	26,829,992
North Dakota	566,170	86,950	479,220
Ohio	33,758,857	5,184,526	28,574,331
Oklahoma	5,943,501	912,775	5,030,726
Oregon	13,672,401	2,099,743	11,572,658
Pennylvania	37,184,902	5,710,682	31,474,220
Puerto Rico	20,357,210	3,126,365	17,230,845
Rhode Island	5,533,256	849,771	4,683,485
South Carolina	12,481,973	1,916,923	10,565,050
South Dakota	856,158	131,485	724,673
Tennessee	21,507,643	3,303,043	18,204,600
Texas	55,598,809	8,538,603	47,060,206
Utah	2,963,244	455,081	2,508,163
Vermont	806,732	123,894	682,838
Virginia	17,685,631	2,716,076	14,969,555
Washington	19,533,856	2,999,918	16,533,938
West Virginia	4,814,588	739,402	4,075,186
Wisconsin	15,763,228	2,420,842	13,342,386
Wyoming	728,014	111,805	616,209
State Total	**1,012,728,000**	**155,530,000**	**857,198,000**
American Samoa	327,780	31,043	296,737
Guam	1,112,584	105,368	1,007,216
Northern Marianas	607,872	57,569	550,303
Palau	119,383	11,306	108,077
Virgin Islands	914,826	86,640	828,186
Outlying Areas Total	**3,082,445**	**291,926**	**2,790,519**
National Reserve Total	**217,167,555**	**20,567,074**	**196,600,481**
Evaluations set aside	**3,411,000**	**0**	**3,411,000**

Attachment G

U. S. Department of Labor
Employment and Training Administration
Employment Service (Wagner-Peyser)
PY 2015 vs PY 2014 Final Allotments

State	Final PY 2014	Final PY 2015	Difference	% Difference
Total with Evaluation	**$664,184,000**	**$664,184,000**	**$0**	**0.00%**
Total (ES Activities)	**$664,184,000**	**$662,400,000**	**($1,784,000)**	**-0.27%**
Alabama	8,502,449	8,491,183	(11,266)	-0.13%
Alaska	7,219,997	7,200,604	(19,393)	-0.27%
Arizona	12,467,698	12,473,460	5,762	0.05%
Arkansas	5,307,726	5,283,573	(24,153)	-0.46%
California	79,586,271	79,283,096	(303,175)	-0.38%
Colorado	10,685,065	10,626,917	(58,148)	-0.54%
Connecticut	7,561,842	7,565,360	3,518	0.05%
Delaware	1,855,182	1,850,199	(4,983)	-0.27%
District of Columbia	2,123,634	2,088,474	(35,160)	-1.66%
Florida	38,551,390	38,350,606	(200,784)	-0.52%
Georgia	19,608,469	19,841,888	233,419	1.19%
Hawaii	2,327,227	2,339,563	12,336	0.53%
Idaho	6,015,543	5,999,385	(16,158)	-0.27%
Illinois	27,868,035	27,708,235	(159,800)	-0.57%
Indiana	12,821,228	12,751,284	(69,944)	-0.55%
Iowa	5,964,574	6,028,720	64,146	1.08%
Kansas	5,526,029	5,498,111	(27,918)	-0.51%
Kentucky	8,506,643	8,465,309	(41,334)	-0.49%
Louisiana	8,094,739	8,076,868	(17,871)	-0.22%
Maine	3,577,386	3,567,777	(9,609)	-0.27%
Maryland	11,906,489	11,934,682	28,193	0.24%
Massachusetts	13,409,175	13,585,040	175,865	1.31%
Michigan	21,291,774	21,056,725	(235,049)	-1.10%
Minnesota	10,993,540	10,920,175	(73,365)	-0.67%
Mississippi	5,674,402	5,621,814	(52,588)	-0.93%
Missouri	11,888,860	11,967,561	78,701	0.66%
Montana	4,915,931	4,902,727	(13,204)	-0.27%
Nebraska	5,605,477	5,512,267	(93,210)	-1.66%
Nevada	6,117,652	6,068,982	(48,670)	-0.80%
New Hampshire	2,650,012	2,641,511	(8,501)	-0.32%
New Jersey	19,124,756	18,973,701	(151,055)	-0.79%
New Mexico	5,516,541	5,501,724	(14,817)	-0.27%
New York	38,504,428	38,363,357	(141,071)	-0.37%
North Carolina	19,555,320	19,378,713	(176,607)	-0.90%
North Dakota	5,005,890	4,992,444	(13,446)	-0.27%
Ohio	23,710,251	23,445,526	(264,725)	-1.12%
Oklahoma	6,461,834	6,464,603	2,769	0.04%
Oregon	8,138,876	8,093,834	(45,042)	-0.55%
Pennsylvania	25,781,009	25,557,772	(223,237)	-0.87%
Puerto Rico	6,911,482	6,836,910	(74,572)	-1.08%
Rhode Island	2,453,424	2,437,864	(15,560)	-0.63%
South Carolina	9,079,879	8,992,138	(87,741)	-0.97%
South Dakota	4,626,593	4,614,166	(12,427)	-0.27%
Tennessee	12,636,661	12,567,163	(69,498)	-0.55%
Texas	47,954,459	48,160,966	206,507	0.43%
Utah	6,395,863	6,289,510	(106,353)	-1.66%
Vermont	2,167,359	2,161,537	(5,822)	-0.27%
Virginia	15,390,720	15,846,585	455,865	2.96%
Washington	13,819,721	13,756,839	(62,882)	-0.46%
West Virginia	5,295,592	5,281,368	(14,224)	-0.27%
Wisconsin	11,820,318	11,786,589	(33,729)	-0.29%
Wyoming	3,589,535	3,579,894	(9,641)	-0.27%
State Total	**662,564,950**	**660,785,299**	**(1,779,651)**	**-0.27%**
Guam	310,787	309,952	(835)	-0.27%
Virgin Islands	1,308,263	1,304,749	(3,514)	-0.27%
Outlying Areas Total	**1,619,050**	**1,614,701**	**(4,349)**	**-0.27%**
Evaluations set aside	**0**	**1,784,000**	**1,784,000**	**N/A**

Attachment H

U. S. Department of Labor
Employment and Training Administration

Workforce Information Grants to States
PY 2015 vs PY 2014 Allotments

State	PY 2014	PY 2015	Difference	% Difference
Total	**$32,000,000**	**$32,000,000**	**$0**	**0.00%**
Alabama	507,835	504,328	(3,507)	-0.69%
Alaska	289,243	289,343	100	0.03%
Arizona	612,836	613,057	221	0.04%
Arkansas	407,384	405,110	(2,274)	-0.56%
California	2,512,037	2,512,646	609	0.02%
Colorado	581,206	583,979	2,773	0.48%
Connecticut	471,257	472,001	744	0.16%
Delaware	298,885	299,203	318	0.11%
District of Columbia	289,809	289,948	139	0.05%
Florida	1,391,578	1,408,710	17,132	1.23%
Georgia	831,404	824,471	(6,933)	-0.83%
Hawaii	323,731	325,099	1,368	0.42%
Idaho	339,000	339,420	420	0.12%
Illinois	1,046,809	1,041,040	(5,769)	-0.55%
Indiana	629,369	635,932	6,563	1.04%
Iowa	445,306	450,811	5,505	1.24%
Kansas	426,480	426,274	(206)	-0.05%
Kentucky	498,878	493,479	(5,399)	-1.08%
Louisiana	499,691	501,858	2,167	0.43%
Maine	331,051	331,102	51	0.02%
Maryland	626,679	623,467	(3,212)	-0.51%
Massachusetts	669,155	671,558	2,403	0.36%
Michigan	815,743	820,078	4,335	0.53%
Minnesota	607,750	608,644	894	0.15%
Mississippi	405,143	398,706	(6,437)	-1.59%
Missouri	610,737	614,280	3,543	0.58%
Montana	306,821	307,848	1,027	0.33%
Nebraska	370,589	369,401	(1,188)	-0.32%
Nevada	411,954	411,778	(176)	-0.04%
New Hampshire	335,427	335,286	(141)	-0.04%
New Jersey	807,150	791,996	(15,154)	-1.88%
New Mexico	358,969	357,691	(1,278)	-0.36%
New York	1,414,730	1,413,628	(1,102)	-0.08%
North Carolina	820,492	813,419	(7,073)	-0.86%
North Dakota	293,355	294,439	1,084	0.37%
Ohio	944,285	944,193	(92)	-0.01%
Oklahoma	465,806	464,819	(987)	-0.21%
Oregon	480,795	480,082	(713)	-0.15%
Pennsylvania	1,039,220	1,025,094	(14,126)	-1.36%
Puerto Rico	389,936	386,665	(3,271)	-0.84%
Rhode Island	312,805	312,352	(453)	-0.14%
South Carolina	509,004	509,225	221	0.04%
South Dakota	299,407	299,746	339	0.11%
Tennessee	624,985	614,134	(10,851)	-1.74%
Texas	1,796,213	1,821,458	25,245	1.41%
Utah	413,138	420,602	7,464	1.81%
Vermont	287,830	287,500	(330)	-0.11%
Virginia	759,585	765,965	6,380	0.84%
Washington	668,760	666,958	(1,802)	-0.27%
West Virginia	342,636	341,935	(701)	-0.20%
Wisconsin	618,083	619,893	1,810	0.29%
Wyoming	282,229	282,549	320	0.11%
State Total	**31,823,200**	**31,823,200**	**0**	**0.00%**
Guam	93,090	93,090	0	0.00%
Virgin Islands	83,710	83,710	0	0.00%
Outlying Areas Total	**176,800**	**176,800**	**0**	**0.00%**

d. Exemptions
If, in the previous tax year, you had gross income, from all sources, under $300,000, you are exempt from the requirements to report:
i. Subawards, and
ii. The total compensation of the five most highly compensated executives of any subrecipient.

e. Definitions. For purposes of this award term:
1. Entity means all of the following, as defined in 2 CFR part 25:
i. A Governmental organization, which is a State, local government, or Indian tribe;
ii. A foreign public entity;
iii. A domestic or foreign nonprofit organization;
Iv. A domestic or foreign for-profit organization;
v. A Federal agency, but only as a subrecipient under an award or subaward to a non-Federal entity.

2. Executive means officers, managing partners, or any other employees in management positions.

3. Subaward:
i. This term means a legal instrument to provide support for the performance of any portion of the substantive project or program for which you received this award and that you as the recipient award to an eligible subrecipient.
ii. The term does not include your procurement of property and services needed to carry out the project or program (for further explanation, see Sec. —— .210 of the attachment to OMB Circular A-133, ``Audits of States, Local Governments, and Non-Profit Organizations'').
iii. A subaward may be provided through any legal agreement, including an agreement that you or a subrecipient considers a contract.

4. Subrecipient means an entity that:
i. Receives a subaward from you (the recipient) under this award; and
ii. Is accountable to you for the use of the Federal funds provided by the subaward.

5. Total compensation means the cash and noncash dollar value earned by the executive during the recipient's or subrecipient's preceding fiscal year and includes the following (for more information see 17 CFR 229.402(c)(2)):
i. Salary and bonus.
ii. Awards of stock, stock options, and stock appreciation rights. Use the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with the Statement of Financial Accounting Standards No. 123 (Revised 2004) (FAS 123R), Shared Based Payments.
iii. Earnings for services under non-equity incentive plans. This does not include group life, health, hospitalization or medical reimbursement plans that do not discriminate in favor of executives, and are available generally to all salaried employees.
iv. Change in pension value. This is the change in present value of defined benefit and actuarial pension plans.
v. Above-market earnings on deferred compensation which is not tax-qualified.
vi. Other compensation, if the aggregate value of all such other compensation (e.g. severance, termination payments, value of life insurance paid on behalf of the employee, perquisites or property) for the executive exceeds $10,000.

2 CFR Subtitle A, Chapter I and Part 25

Appendix A to Part 25--Award Term

I. Central Contractor Registration and Universal Identifier Requirements

A. Requirement for Central Contractor Registration (CCR)

Unless you are exempted from this requirement under 2 CFR 25.110, you as the recipient must maintain the currency of your information in the CCR until you submit the final financial report required under this award or receive the final payment, whichever is later. This requires that you review and update the information at least annually after the initial registration, and more frequently if required by changes in your information or another award term.

B. Requirement for Data Universal Numbering System (DUNS) Numbers
If you are authorized to make subawards under this award, you:
1. Must notify potential subrecipients that no entity (see definition in paragraph C of this award term) may receive a subaward from you unless the entity has provided its DUNS number to you.
2. May not make a subaward to an entity unless the entity has provided its DUNS number to you.

C. Definitions

For purposes of this award term:

1. Central Contractor Registration (CCR) means the Federal repository into which an entity must provide information required for the conduct of business as a recipient. The CCR process has recently migrated to the System for Award Management (SAM). Additional information about registration procedures may be found at http://www.sam.gov .

2. Data Universal Numbering System (DUNS) number means the nine-digit number established and assigned by Dun and Bradstreet, Inc. (D&B) to uniquely identify business entities. A DUNS number may be obtained
from D&B by telephone (currently 866-705-5711) or the Internet (currently at http://fedgov.dnb.com/webform).

3. Entity, as it is used in this award term, means all of the following, as defined at 2 CFR part 25, subpart C:
a. A Governmental organization, which is a State, local government, or Indian Tribe;
b. A foreign public entity;
c. A domestic or foreign nonprofit organization;
d. A domestic or foreign for-profit organization; and
e. A Federal agency, but only as a subrecipient under an award or subaward to a non-Federal entity.

4. Subaward:
a. This term means a legal instrument to provide support for the performance of any portion of the substantive project or program for which you received this award and that you as the recipient award to an eligible subrecipient.
b. The term does not include your procurement of property and services needed to carry out the project or program (for further explanation, see Sec. ---.210 of the attachment to OMB Circular A-133, ``Audits of States, Local Governments, and Non-Profit Organizations").
c. A subaward may be provided through any legal agreement, including an agreement that you consider a contract.

5. Subrecipient means an entity that:
a. Receives a subaward from you under this award; and
b. Is accountable to you for the use of the Federal funds provided by the subaward.

Attachment J

WAGNER-PEYSER ACT (W-PA)
ANNUAL FUNDING AGREEMENT
(Including Mod 0, initial Notice of Obligation)
PY 2014/FY 2015

Grant Number:
(To be completed by DOL)

CFDA #17.207 Employment Service/Wagner-Peyser
CFDA #17.271 Work Opportunity Tax Credit Program

1. **Parties.** Consistent with the Governor/Secretary Agreement provided for at 20 CFR 652.4(b) and 20 CFR 667.110, this grant agreement is entered into between the U.S. Department of Labor (Grantor) and the **STATE/COMMONWEALTH OF** (Grantee).

2. **Grant Funds.** This grant agreement applies to funds appropriated for **Program Year (PY) 2014 and Fiscal Year (FY) 2015** for the purposes of carrying out activities authorized under Sections 7(a) through 7(e) of the Wagner-Peyser Act (W-PA), as amended.

3. **Applicable Authority.** Funds under this grant agreement must be expended in accordance with all applicable federal statutes, regulations and policies, including those of the Wagner-Peyser Act, as amended; the applicable and approved State plan(s) and any amendments; any negotiated performance levels; specifically approved statutory and regulatory waivers applicable to these funds; and the applicable provisions in the appropriation acts for these funds. The applicable State plans referenced above include the WIA/W-PA five-year Strategic State Plan and any modifications thereto *(and for CO, MA, and MI only: including any demonstration of alternative service delivery methods approved as part of the applicable State Plan)* and any other annual plans required for the receipt of funds under this agreement (e.g. cost reimbursable grants).

4. **Grant Expenditure Period.** This Annual Funding Agreement is effective July 1, 2014 and expires September 30, 2017 to allow for funds allocated under this agreement to be fully expended in accordance with the statutory/regulatory life of the different fund sources (subject to availability of Federal funds) including, but not limited to: **Wagner-Peyser Employment Service, Workforce Information Grants, and the Work Opportunity Tax Credit Program.** However, any non-formula or discretionary awards are subject to the terms and conditions of the specific awards and plan approvals which may include reduced expenditure periods. Commencement of expenditures is subject to the issuance of federal obligation authority for each grant account funded under this agreement, unless preaward costs are approved via a continuing resolution or otherwise.

5. **Notice of Obligation.** Funds shall be obligated to the Grantee via a Notice of Obligation (NOO). Obligations and costs may not exceed the amount obligated by the NOO unless otherwise modified by the Grantor. This agreement includes the initial PY 2014 and FY 2015 NOO for the program which is provided as a "Modification 0" NOO Attachment to this agreement. Funds are obligated for the amount indicated in the NOO in accordance with the Grantee's approved funding allocations. Subsequent PY 2014 and FY 2015 allocations will be provided via grant modification/NOOs.

6. **Electronic Fund Transfers.** Payments shall be made to the Grantee under the Department of Health and Human Services (HHS) Payment Management System (PMS).

7. **Standard Certifications and Assurances.** The following certifications and assurances are incorporated by reference and made a part of this agreement, include the following:

CERTIFICATION REGARDING LOBBYING
(29 CFR Part 93)

DRUG FREE WORKPLACE REQUIREMENTS CERTIFICATION
(29 CFR Part 98)

NONDISCRIMINATION AND EQUAL OPPORTUNITY ASSURANCE
(29 CFR Part 37)

STANDARD FORM 424b - STANDARD ASSURANCES (NON-CONSTRUCTION PROGRAMS)

CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS PRIMARY COVERED TRANSACTIONS
(29 CFR PART 98)

8. **Other Administrative Requirements.** In performing its responsibilities under this agreement, the grantee further certifies and assures that it will fully comply with:

29 CFR Part 97 (Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments) and the 29 CFR Part 97 clarifications and exceptions specified below:

29 CFR 97.25 - Program Income. Grantees shall use the Addition method for computing Program Income. The grantee may deduct those costs incident to generation of program income from gross income to determine net program income provided that such costs were not charged to grant funds under this agreement.

29 CFR 97.31 - Property. Real property includes both real property acquired under this agreement and real property transferred to this agreement from prior agreements.

29 CFR 97.32 - Equipment and 29 CFR 97.33 - Supplies. Equipment and Supplies includes both equipment and supplies acquired under this agreement and equipment and supplies transferred to this agreement from prior agreements.

Financial Reporting

29 CFR 97.41 (a) and (b) - These are the general parameters for financial reporting.

29 CFR 97.41 (b) – The Employment and Training Administration (ETA) has implemented the Office of Management and Budget (OMB)-approved quarterly financial reporting form to be used for financial reporting starting with the quarter which ended September 30, 2007. The reporting instructions for the form are posted on the www.doleta.gov/grants/financial_reporting.cfm website. State grantees are required to use the ETA Web-based reporting system for the filing of quarterly financial status reports. A separate report must be completed each quarter for each funding source (See Fund Type) provided under this agreement until such time as such funds for a given year have been expended or expired (i.e., expired due to statutory provision or expired due to terms of a specific grant/plan, as applicable). The software provided to grantees by the grantor agency will contain a menu listing all funding source reporting options to assist the grantees in full reporting coverage.

29 CFR 97.41 (b) (2) – As the awarding agency, the Employment and Training Administration requires grantees to report program outlays (expenditures) on an accrual basis. If the grantee's accounting records are not normally kept on the accrual basis, the grantee shall not be required to convert its accounting system but shall develop such accrual information through an analysis of the documentation on hand.

29 CFR 97.41 (c) (1) - The grantee is exempted from the requirement to submit the SF-272, Federal Cash Transactions Report, and the SF-272a, Federal Cash Transactions Report, continuation sheet, provided that the grantee files the SF- 272 (e) electronic report in accordance with the HHS Payment Management System requirements.

OMB Circular A-87 Revised (Cost Principles for State and Local Governments) (See http://www.whitehouse.gov/omb/grants_circulars) and the provisions and exceptions specified below:

For those selected items of cost requiring prior approval, the authority to grant or deny approval is delegated to the State for programs funded under this Agreement except that the Secretary reserves the right to require transfer of title on nonexpendable Automated Data Processing Equipment in accordance with the provisions at 29 CFR 97.32 (g). Pursuant to 20 CFR 652.8(d)(2), the Secretary reserves the right to exercise prior approval authority in other areas, after providing advance notice to the State. Accordingly, capital expenditures for real property are allowable as a direct cost only if approved by the Secretary (Grantor).

For personnel benefit costs charged to Wagner-Peyser Act funds on behalf of Employment Service (ES) employees who are members of fringe benefit plans which do not meet the requirements of OMB Circular A-87, Appendix B, Item 8, the costs of employer contributions or

expenses incurred for ES fringe benefit plans are allowable as an addition to OMB Circular A-87, provided that:

(i) For retirement plans: (A) all covered employees joined the plan before October 1, 1983; (B) the plan is authorized by State law; (C) the plan was previously approved by the Secretary; (D) the plan is insured by a private carrier which is licensed to operate this type of plan in the applicable State; and (E) any dividends or similar credits because of participation in the plan are credited against the next premium falling due under the contract;

(ii) For all ES fringe benefit plans other than retirement plans, if the Secretary granted a time extension after October 1, 1983, to the existing approval of such a plan, costs of the plan are allowable until such time as the plan is comparable in cost and benefits to fringe benefit plans available to other similarly employed ES employees. At such time as the cost and benefits of an approved fringe benefit plan are equivalent to the cost and benefits of plans available to other similarly employed ES employees, the time extension will cease and the cited requirements of OMB Circular A-87 will apply; and

(iii) For retirement plans and all other fringe benefit plans covered in (i) and (ii) of this paragraph, any additional costs resulting from improvements of the plans made after October 1, 1983, are not chargeable to funds under this agreement.

29 CFR PART 96 and 99 (Audit Requirements). These requirements apply as supplemented by the Wagner-Peyser Act regulations at 20 CFR 652.8(f).

Intergovernmental Personnel Act (42 U.S.C. 4701) and amendments thereto.

9. **Veterans' Priority Provisions.** This program, funded by the U.S. Department of Labor is subject to the priority of service requirements of 38 USC 4215 and 20 CFR Part 1010. Section 4215 of Title 38 requires that priority of service be provided to veterans and spouses of certain service members and veterans for the receipt of employment, training, and placement services. Agreement by a program operator to implement priority of service is a condition of receipt of DOL funds. The Planning Guidance (either the Stand-Alone Planning Guidance at 73 FR 72853 (December 1, 2008)) or the Unified Planning Guidance at 73 FR 73730 (December 3, 2008) requires states to describe the policies and strategies in place to ensure that priority of service is provided to veterans (and certain spouses) who otherwise meet the eligibility requirements for all employment and training programs funded in whole or in part by the U.S. Department of Labor. In addition, the states are required to provide assurances that they will comply with the Veterans' Priority of Service Provisions in 38 USC 4215 and Training and Employment Guidance Letter (TEGL) No. 10-09 (issued November 10, 2009). TEGL No. 10-09 is available at http://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=2816.

10. **Buy American Notice Requirement:** In the case of any equipment or product that may be authorized to be purchased with financial assistance provided using funds available under this Agreement, it is the sense of the Congress that entities receiving the assistance should, in expending the assistance, purchase only American-made equipment and products, as required by the Buy American Act (41 USC 10a et seq.).

11. **Salary and Bonus Limitations.** None of the funds appropriated under the heading 'Employment and Training' in the appropriations statute(s) may be used by a recipient or subrecipient of such funds to pay the salary and bonuses of an individual, either as direct costs or indirect costs, at a rate in excess of Executive Level II. This limitation shall not apply to vendors providing goods and services as defined in OMB Circular A-133 (codified at 29 CFR Parts 96 and 99). Where States are recipients of such funds, States may establish a lower limit for salaries and bonuses of those receiving salaries and bonuses from subrecipients of such funds, taking into account factors including the relative cost-of-living in the State, the compensation levels for comparable State or local government employees, and the size of the organizations that administer Federal programs involved including Employment & Training Administration programs. See Public Laws 113-76 (Division H, Title I, Section 105), TEGL No. 05-06 for further clarification. TEGL No. 05-06 is available at http://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=2262

12. **Intellectual Property Rights:** The Federal Government reserves a paid-up, nonexclusive and irrevocable license to reproduce, publish or otherwise use, and to authorize others to use for federal purposes: i) the copyright in all products developed under the grant, including a subgrant or contract under the grant or subgrant; and ii) any rights of copyright to which the grantee, subgrantee or a contractor purchases ownership under an award (including but not limited to curricula, training models, technical assistance products, and any related materials). Such uses include, but are not limited to, the right to modify and distribute such products worldwide by any means, electronically or otherwise. Federal funds may not be used to pay any royalty or license fee for use of a copyrighted work, or the cost of acquiring by purchase a copyright in a work, where the Department has a license or rights of free use in such work. If revenues are generated through selling products developed with grant funds, including intellectual property, these revenues are program income. Program income is added to the grant and must be expended for allowable grant activities.

> If applicable, the following needs to be on all products developed in whole or in part with grant funds:
>
> "This workforce product was funded by a grant awarded by the U.S. Department of Labor's Employment and Training Administration. The product was created by the grantee and does not necessarily reflect the official position of the U.S. Department of Labor. The Department of Labor makes no guarantees, warranties, or assurances of any kind, express or implied, with respect to such information, including any information on linked sites and including, but not limited to, accuracy of the information or its completeness, timeliness, usefulness, adequacy, continued availability, or ownership. This product is copyrighted by the institution that created it. Internal use by an organization and/or personal use by an individual for non-commercial purposes is permissible. All other uses require the prior authorization of the copyright owner."

13. **Federal Funding Accountability and Transparency Act.** Federal Funding Accountability and Transparency Act of 2006 Pub. L. 109-282 as amended by section 6202 of Pub. L. 110-252 ("FFATA"). Grantees must ensure that they have the necessary processes and systems in place to comply with the reporting requirements of FFATA. See Training and Employment Guidance

Letter (TEGL) No. 11-10 (issued November 15, 2010) http://wdr.doleta.gov/directives/attach/TEGL/TEGL11-10acc.pdf , and attachment to this agreement.

14. **Executive Order 13333:** This agreement may be terminated without penalty, if the grantee or any subgrantee, or the contractor or any subcontractor engages in "(i) severe forms of trafficking in persons; (ii) the procurement of a commercial sex act during the period of time that the grant, contract, or cooperative agreement is in effect; (iii) the use of forced labor in the performance of the grant, contract, or cooperative agreement; or (iv) acts that directly support or advance trafficking in persons." (22 U.S.C. § 7104(g))

15. **Special Requirements for Conferences and Conference Space:** Grantee must obtain prior approval from the Grantor before holding any conference (which includes meeting, retreat, seminar, symposium, training activity or similar event held in either Federal on non-Federal space), or any activity related to holding a conference, including, but not limited to, obligating or expending Grantor funds, signing contracts for space or services, announcing Grantor's involvement in any conference, and using Grantor official's name or Grantor's name or logo. Grantor retains the right to obtain information from the Grantee about any conference that is funded in whole or in part with Grantor funds.

16. **Seat Belts:** Pursuant to Executive Order (EO) 13043 (April 16, 1997), Increasing the Use of Seat Belts in the United States, recipients are encouraged to adopt and enforce on-the-job seat belt policies and programs for their employees when operating vehicles, whether organizationally owned or rented or personally owned.

17. **Executive Order 13513:** Sec. 4. Text Messaging While Driving by Government Contractors, Subcontractors, and Recipients and Subrecipients. Contractors, subcontractors, and recipients and subrecipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or Government-owned, Government-leased, or Government-rented vehicles, or while driving privately-owned vehicles when on official Government business, or when performing any work for or on behalf of the Government and to conduct initiatives of the type described in section 3(a) of the Executive Order.

18. **Signatory Information:** By signing below, the signatories agree to the terms and conditions of this agreement, including all applicable assurances and certifications, on behalf of their respective agencies indicated below. In the absence of a signature by the Grantee only, this award is nevertheless effective by virtue of Grantee's electronic signature on the SF-424 upon which this award is based. In addition, the Grantee's expenditure of any funds properly granted hereunder constitutes acceptance of the award, including any new or additional terms and conditions as may be attached hereto.

FOR GRANTEE:

PMS EIN #:_____

PMS PIN ACCT #:_____

See SF-424 Signature and Clause 18 above

BY_____
(Governor/Authorized Signatory) Signature Date

FOR GRANTOR: U.S. Department of Labor/Employment and Training Administration
200 Constitution Ave NW; Room N-4716; Washington, DC 20210

_____
THOMAS C. MARTIN Signature Date
Grant Officer

Attachment to Wagner-Peyser Act (W-PA) Annual Funding Agreement

W-PA Agreement Clause No.13 ("Transparency Act") Attachment

This Grant is subject to the following Transparency Act requirements:

2 CFR Part 170

Appendix A to Part 170--Award Term

i. Reporting Subawards and Executive Compensation.

a. Reporting of first-tier subawards.

1. Applicability. Unless you are exempt as provided in paragraph d. of this award term, you must report each action that obligates $25,000 or more in Federal funds that does not include Recovery funds (as defined in section 1512(a)(2) of the American Recovery and Reinvestment Act of 2009, Pub. L. 111-5) for a subaward to an entity (see definitions in paragraph e. of this award term).

2. Where and when to report.

i. You must report each obligating action described in paragraph a.1. of this award term to http://www.fsrs.gov.

ii. For subaward information, report no later than the end of the month following the month in which the obligation was made. (For example, if the obligation was made on November 7, 2010, the obligation must be reported by no later than December 31, 2010.)

3. What to report. You must report the information about each obligating action that the submission instructions posted at http://www.fsrs.gov specify.

b. Reporting Total Compensation of Recipient Executives.

1. Applicability and what to report. You must report total compensation for each of your five most highly compensated executives for the preceding completed fiscal year, if--

i. the total Federal funding authorized to date under this award is $25,000 or more;

iiin the preceding fiscal year, you received--

(A) 80 percent or more of your annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards); and

(B) $25,000,000 or more in annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards) and

iii. The public does not have access to information about the compensation of the executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. (To determine if the public has access to the compensation information, see the U.S. Security and Exchange Commission total compensation filings at http://www.sec.gov/answers/execomp.htm.)

2. Where and when to report. You must report executive total compensation described in paragraph b.1. of this award term:

i. As part of your registration profile at http://www.sam.gov

ii. By the end of the month following the month in which this award is made, and annually thereafter.

c. Reporting of Total Compensation of Subrecipient Executives.

1. Applicability and what to report. Unless you are exempt as provided in paragraph d. of this award term, for each first-tier subrecipient under this award, you shall report the names and total compensation of each of the subrecipient's five most highly compensated executives for the subrecipient's preceding completed fiscal year,

if--

i. In the subrecipient's preceding fiscal year, the subrecipient received--

(A) 80 percent or more of its annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards); and

(B) $25,000,000 or more in annual gross revenues from Federal procurement contracts (and subcontracts), and Federal financial assistance subject to the Transparency Act (and subawards); and

ii. The public does not have access to information about the compensation of the executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. (To determine if the public has access to the compensation information, see the U.S. Security and Exchange Commission total compensation filings at http://www.sec.gov/answers/execomp.htm.)

2. Where and when to report. You must report subrecipient executive total compensation described in paragraph c.1. of this award term:

i. To the recipient.

ii. By the end of the month following the month during which you make the subaward. For example, if a subaward is obligated on any date during the month of October of a given year (i.e., between October 1 and 31), you must report any required compensation information of the subrecipient by November 30 of that year.

d. Exemptions
If, in the previous tax year, you had gross income, from all sources, under $300,000, you are exempt from the requirements to report:
i. Subawards, and
ii. The total compensation of the five most highly compensated executives of any subrecipient.

e. Definitions. For purposes of this award term:
1. Entity means all of the following, as defined in 2 CFR part 25:
i. A Governmental organization, which is a State, local government, or Indian tribe;
ii. A foreign public entity;
iii. A domestic or foreign nonprofit organization;
iv. A domestic or foreign for-profit organization;
v. A Federal agency, but only as a subrecipient under an award or subaward to a non-Federal entity.

2. Executive means officers, managing partners, or any other employees in management positions.

3. Subaward:
i. This term means a legal instrument to provide support for the performance of any portion of the substantive project or program for which you received this award and that you as the recipient award to an eligible subrecipient.
ii. The term does not include your procurement of property and services needed to carry out the project or program (for further explanation, see Sec. ---- .210 of the attachment to OMB Circular A-133, ``Audits of States, Local Governments, and Non-Profit Organizations'').
iii. A subaward may be provided through any legal agreement, including an agreement that you or a subrecipient considers a contract.

4. Subrecipient means an entity that:
i. Receives a subaward from you (the recipient) under this award; and
ii. Is accountable to you for the use of the Federal funds provided by the subaward.

5. Total compensation means the cash and noncash dollar value earned by the executive during the recipient's or subrecipient's preceding fiscal year and includes the following (for more information see 17 CFR 229.402(c)(2)):
i. Salary and bonus.
ii. Awards of stock, stock options, and stock appreciation rights. Use the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with the Statement of Financial Accounting Standards No. 123 (Revised 2004) (FAS 123R), Shared Based Payments.
iii. Earnings for services under non-equity incentive plans This does not include group life, health, hospitalization or medical reimbursement plans that do not discriminate in favor of executives, and are available generally to all salaried employees.
iv. Change in pension value. This is the change in present value of defined benefit and actuarial pension plans.
v. Above-market earnings on deferred compensation which is not tax-qualified.
vi. Other compensation, if the aggregate value of all such other compensation (e.g. severance, termination payments, value of life insurance paid on behalf of the employee, perquisites or property) for the executive exceeds $10,000.

2 CFR Subtitle A, Chapter I and Part 25

Appendix A to Part 25--Award Term

I. Central Contractor Registration and Universal Identifier Requirements

A. Requirement for Central Contractor Registration (CCR)

Unless you are exempted from this requirement under 2 CFR 25.110, you as the recipient must maintain the currency of your information in the CCR until you submit the final financial report required under this award or receive the final payment, whichever is later. This requires that you review and update the information at least annually after the initial registration, and more frequently if required by changes in your information or another award term.

B. Requirement for Data Universal Numbering System (DUNS) Numbers
If you are authorized to make subawards under this award, you:
1. Must notify potential subrecipients that no entity (see definition in paragraph C of this award term) may receive a subaward from you unless the entity has provided its DUNS number to you.
2. May not make a subaward to an entity unless the entity has provided its DUNS number to you.

C. Definitions

For purposes of this award term:

1. Central Contractor Registration (CCR) means the Federal repository into which an entity must provide information required for the conduct of business as a recipient. The CCR process has recently migrated to the System for Award Management (SAM). Additional information about registration procedures may be found at http://www.sam.gov.

2. Data Universal Numbering System (DUNS) number means the nine-digit number established and assigned by Dun and Bradstreet, Inc. (D&B) to uniquely identify business entities. A DUNS number may be obtained from D&B by telephone (currently 866-705-5711) or the Internet (currently at http://fedgov.dnb.com/webform).

3. Entity, as it is used in this award term, means all of the following, as defined at 2 CFR part 25, subpart C:
a. A Governmental organization, which is a State, local government, or Indian Tribe;
b. A foreign public entity;
c. A domestic or foreign nonprofit organization;
d. A domestic or foreign for-profit organization; and
e. A Federal agency, but only as a subrecipient under an award or subaward to a non-Federal entity.

4. Subaward:
a. This term means a legal instrument to provide support for the performance of any portion of the substantive project or program for which you received this award and that you as the recipient award to an eligible subrecipient.
b. The term does not include your procurement of property and services needed to carry out the project or program (for further explanation, see Sec. ---.210 of the attachment to OMB Circular A-133, "Audits of States, Local Governments, and Non-Profit Organizations").
c. A subaward may be provided through any legal agreement, including an agreement that you consider a contract.

5. Subrecipient means an entity that:
a. Receives a subaward from you under this award; and
b. Is accountable to you for the use of the Federal funds provided by the subaward.